

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR
\$

Applicant: Alan Dowd et al.

Title: NETWORK SECURITY MODELING SYSTEM AND METHOD

Docket No.: 105.176US1

Filed: January 14, 2000

Examiner: Unknown

Serial No.: 09/483,127

Due Date: April 24, 2000

Group Art Unit: 2776

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney (4 pgs.).
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$615.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- Other: ____.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 13th day of March, 2000.

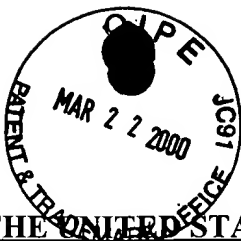
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Thomas F. Brennan
Name: Thomas F. Brennan
Reg. No. 35,075
TFB:CMG:af

05/11/2000 PHARROD 00000001 190743 09483127

01 FC:202 39.00 CH

S/N 09/483,127



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan Dowd et al. Examiner: Unknown
Serial No.: 09/483,127 Group Art Unit: 2776
Filed: January 14, 2000 Docket: 105.176US1
Title: NETWORK SECURITY MODELING SYSTEM AND METHOD

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$615.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6909.

Respectfully submitted,

ALAN DOWD ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6909

Date March 13, 2000

By Thomas F. Brennan

Thomas F. Brennan
Reg. No. 35,075
TFB:CMG:af

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on MARCH 13, 2000.

Date of Deposit

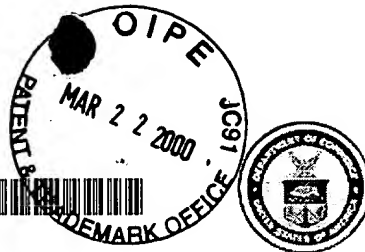
Thomas F. Brennan

THOMAS F. BRENNAN

FORMALITIES LETTER



OC00000004974382

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/483,127	01/14/2000	Alan Dowd	105.176US1

SCHWEGMAN LUNDBERG WOESSNER & KLUTH
P O BOX 2938
MINNEAPOLIS, MN 55402

Date Mailed: 02/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *DV APR. 24, 2000 & SEP. 24, 2000 any*
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$462.
 - \$306 for 17 total claims over 20.
 - \$156 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1282.**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/24/2000 CVORACHA 00000001 09483127

01 FC:201	345.00 OP
02 FC:203	153.00 OP
03 FC:202	117.00 OP
04 FC:205	65.00 OP

Schwegman, Lundberg,
Woessner & Kluth, P.A.

FEB 29 2000

RECEIVED